

Mail Stop: Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450



Docket No.: 5005.1069  
 Date: December 9, 2004

In re application of: **Andreas HUND et al.**  
 Serial No.: 10/752,258  
 Filed: 01/06/2004  
 For: **TUBE FOR A MICROSCOPE**

Sir:

Transmitted herewith is a **Supplemental Information Disclosure Statement (2 pages)** with PTO-1449 (1 page) and cited references in the above-identified application.

- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.  
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.  
☒ No fee for additional claims is required.  
☐ A filing fee for additional claims calculated as shown below, is required:

FOR:	(Col. 1)		(Col. 2)		SMALL ENTITY		OR	LARGE ENTITY	
	REMAINING	HIGHEST	PRESENT		RATE	FEE		RATE	FEE
	AFTER	PREVIOUSLY	EXTRA						
	AMENDMENT	PAID FOR							
TOTAL CLAIMS	* MINUS 20	=	0		x \$ 9	\$		x \$ 18	\$
INDEP. CLAIMS	* MINUS 3	=	0		x \$ 43	\$		x \$ 86	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$ 145	\$		+ \$ 290	\$
TOTAL: \$					OR		TOTAL: \$		

- \* If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☐ Also transmitted herewith are:  
☐ Petition for extension under 37 C.F.R. 1.136  
☐ Other:  
☐ Check(s) in the amount of \$0.00 is/are attached to cover:  
☐ Filing fee for additional claims under 37 C.F.R. 1.16  
☐ Petition fee for extension under 37 C.F.R. 1.136  
☐ Other:  
☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.  
☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.  
☒ Any patent application processing fees under 37 C.F.R. 1.17.  
☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

Erik R. Swanson, Reg. No. 40,833  
 DAVIDSON, DAVIDSON & KAPPEL, LLC  
 485 Seventh Avenue, 14<sup>th</sup> Floor  
 New York, New York 10018  
 Tel: (212) 736-1940  
 Fax: (212) 736-2427

I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on 12/10/04.

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY:   
 Oliver Platz



5005.1069

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Re: Application of: Andreas HUND et al.**  
**Serial No.: 10/752,258 Confirmation No.: 4048**  
**Filed: 01/06/2004**  
**For: TUBE FOR A MICROSCOPE**  
**Examiner: (Not yet known)**  
**Art Unit: 2872**  
**Customer No.: 23280**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

December 9, 2004

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the documents listed on the accompanying Form PTO-1449 (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith, some or all of the references may not constitute prior art under the U.S. patent laws.

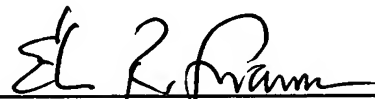
Some of the references listed on the accompanying PTO-1449 Form were cited in a German language European Search Report, a copy of which is submitted herewith together with a brief translation thereof and copies of the foreign patent documents listed.

This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (b), before the mailing date of a First Office Action, therefore no fee is believed due. If any additional fees are deemed to be due at this time, the Assistant Commissioner is authorized to charge payment of the same to Deposit Account No. 50-0552.

It is respectfully requested that the references cited in the accompanying PTO-1449 form be considered and made of record. It is respectfully submitted that the pending claims are patentable over all of the references made of record at this time.

Respectfully Submitted,  
DAVIDSON, DAVIDSON & KAPPEL, LLC

By:



Erik R. Swanson  
Reg. No. 40,833

Davidson, Davidson & Kappel, LLC  
485 Seventh Avenue, 14th Floor  
New York, New York 10018  
(212) 736-1940

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

5005.1069

APPLICATION NO

10/752,258

## LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Andreas HUND et al.

FILING DATE

01/06/2004

GROUP

2872

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	A01	5,657,158	Aug. 12, 1997	Baumann et al.	359	363	
	A02	5,764,408	Jun. 9, 1998	Otaki	359	368	
	A03						
	A04						
	A05						
	A06						
	A07						
	A08						
	A09						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	A10	JP 61-294408	Dec. 25, 1986	JP-Japan			See brief translation of European Search Report for EP 03 10 4659; Abstract	
	A11							
	A12							
	A13							
	A14							
	A15							
	A16							
	A17							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	A18	European Search Report for EP 03 10 4659 of Aug. 18, 2004 and brief translation, 3 pages
	A19	
	A20	
	A21	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.